Assessment Category	Ref	Details of Recommendation	Action	Target Date	Complete	Updates
Strategy and Policy	R1	On page 5 of the Risk Management Strategy, the Council could consider adding an additional tab for All Employees just to reinforce the message that every employee has a role in identifying risk and informing line management in order to maximise safety and minimise the possibility of threat incidents arising at all levels.	Stategy will be reviewed at next update to take account of recommendations	Apr-23	Complete	Pg 5 - Directorates and Services - added all employees to Directorates and services, added escalation (to reporting) and removed significant from (significant risks).
Strategy and Policy	R2	On page 7 of the Risk Management Strategy document, we recommend that under the "Key Decisions" heading, reference is made to include details of risk ownership when reporting risks associated with key decisions to the Executive	Stategy will be reviewed at next update to take account of recommendations	Apr-23	Complete	Pg 7 - Added "the risk owners" (I think this might impact the way committee papers work)
Strategy and Policy	R3	Guidance on Partnership risks should be included in the intranet toolkit. Areas to be addressed within the expanded guidance could include, for example: definition of partnership; drivers, challenges and risks; assessing the need for partnership working; establishing the partnership; governance, operating the partnership; performance measurement and management; accountable body status and associated risks; the partnerships register (if required) and a partnership toolkit comprising a series of good practice checklists or guidance notes. See also R8	We recommend that the Council develops a corporate risk management training plan to provide targeted and appropriate training to each of the role levels identified in the Risk Management Strategy.	Sep-22	Complete	
Leadership & Management		No recommendations				
People and Resources	R4	We recommend that the Council develops a corporate risk management training plan to provide targeted and appropriate training to each of the role levels identified in the Risk Management Strategy.	A discussion around training needs was held at CRSSGP August 2022. Current arrangements are deemed adequate however a training plan will be drawn up in January 2023.	Apr-25		Following a revision of the priorities of the risk management team, this will be considered as part of the strategy review and associated supporting documents, which includes training.
People and Resources	R5	We recommend that the Council considers making risk management training mandatory for middle managers and above.	A discussion around training needs was held at CRSSGP August 2022. Current arrangements are deemed adequate however a training plan will be drawn up in January 2023.	Apr-25		Following a revision of the priorities of the risk management team, this will be considred as part of the strategy review and associated supporting documents, which includes training.
People and Resources	R6	Ensure that all new Elected Members receive risk management training and that those who sit on the Audit Committee receive a more detailed level of training on risk assessment	Training of Audit Committee is to take place in January/Febraury 2023. Future training will be added to the training plan.	Apr-25		Training is planned for Audit Committee November 2023, for other members, this will be considered as part of the strategy review.
People and Resources	R7	Provide a training module on risk assessment for Service Managers (and Senior Managers who require it}	A discussion around training needs was held at CRSSGP August 2022. Current arrangements are deemed adequate however a training plan will be drawn up in March 2023.	Apr-25		Following a revision of the priorities of the risk management team, this will be considred as part of the strategy review and associated supporting documents, which includes training.

People and Resources	R8	Consideration should be given to the development of a Partnership risks toolkit or at least a specific Toolkit title which offers a checklist of specific issues to consider when risk assessing partnership or collaborative working options for service delivery. This should be consistent with the guidance on good governance from CIPFA / SOLACE.	Consideration of need for this discussed at CRSSGP in August 2022 as very few true partnerships. Considered at this time no further work required.	Aug-22	Complete
Processes and Tools	R9	The Council should consider whether risk reporting should move to a quarterly cycle rather than the current six monthly process	Work is underway to review risk as part of overall performance reproting. This is part of a corporate project. Work is underway to gain further licences to enable access to data at source.	on-going	Complete
Processes and Tools	R10	We recommend that the six-monthly reporting of risks and updated risk registers should also include a section on "horizon scanning" to ensure that there is an audit trail of consistent consideration of emerging risks and threats to the organisation.	Horizon scanning is reported through to Audit Committee. 1/4ly reporting to Directorates already takes place as part of the feedback to Directorate Groups. Work is underway how this can be incorporated into sharpcloud as part of the access to data at source.		Complete
Risk Handling		No recommendations			
Partnerships and Shared Risks	R11	We would recommend that a register is maintained of all partnership arrangement and collaborative working agreements to which the Council is party, perhaps maintained by Internal Audit as they will have a prime role in providing assurance on such arrangements.	Consideration of need for this discussed at CRSSGP in August 2022 as very few true partnerships. Considered at this time no further work required.		Complete
Outcomes and Delivery	R12	We recommend that the Council consider developing a risk management dashboard on Sharp Cloud so that risks and any outstanding action points relating to service areas and directorates be clearly and quickly shown together with direction of travel.	Work had already been started on this but was not available to view as part of the audit. Working with pilot group to refine information.	Apr-25	Ongoing